



PATENT
Docket No. MT-0026.3

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Date:

12 September 2001

Jacqueline M. Nicol

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Terje A. SKOTHEIM *et al.*

Serial No.: 09/864,890

Filing Date: 23 May 2001

For: LITHIUM ANODES FOR
ELECTROCHEMICAL CELLS

Examiner: Unknown

Group Art Unit: 1745

**POWER OF ATTORNEY AND PROSECUTION BY ASSIGNEE
UNDER 37 C.F.R. § 3.71**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Moltech Corporation, the assignee of the entire right, title and interest in this patent application, hereby appoints as its attorney or agent, with full power of substitution, association, and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected herewith:

Dr. Jacqueline M. Nicol (Reg. No. 44,973)

of Moltech Corporation, 9062 South Rita Road, Tucson, Arizona 85747-9108, telephone: (520) 799-7500, said appointment to be to the exclusion of the inventors and their attorneys in accordance with the provisions of 37 C.F.R. § 3.71.

Moltech Corporation further appoints

Steven A. Carlson (Reg. No. 39,127)
Richard L. Sampson (Reg. No. 37,231)
Sampson & Associates, P.C.
50 Congress Street, Suite 519
Boston, MA 02109

to prosecute this application and transact all matters in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorneys in accordance with the provisions of 37 C.F.R. §3.71.

Please direct all communications relative to this application to:

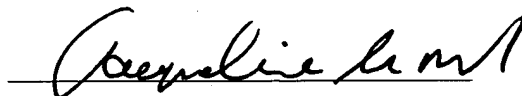
Dr. Jacqueline M. Nicol
Intellectual Property Department
Moltech Corporation
9062 S. Rita Road
Tucson, Arizona 85747-9108

Please direct all telephone communications to Jacqueline M. Nicol at (520) 799-7527 and facsimile communication to Jacqueline M. Nicol at (520) 799-7501.

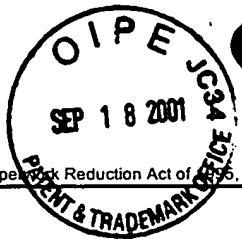
Moltech Corporation is the assignee of record of the entire interest. A certification under 37 C.F.R. § 3.73(b) is hereto attached.

Moltech Corporation

Dated: 12 September 2001



Name: Jacqueline M. Nicol
Title: Director, Intellectual Property
Address: Moltech Corporation
9062 S. Rita Road
Tucson, AZ 85747-9108



Under the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Terje A. SKOTHEIM et al.Application No./Patent No.: 09/864,890Filed/Issue Date: May 23, 2001Entitled: LITHIUM ANODES FOR ELECTROCHEMICAL CELLSMoltech Corporation, a Delaware Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. (6 pages)

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

12 September 2001
Date

Jacqueline M. Nicol

Typed or printed name

Signature

Director, Intellectual Property

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**ASSIGNMENT
JOINT**

COPY

THIS ASSIGNMENT, by Terje A. Skotheim, Christopher J. Sheehan, Yuriy V. Mikhaylik, and John Affinito (hereinafter referred to as the assignors), residing at 7080 North Cathedral Rock Place, Tucson, Arizona, 85718, USA, 551 East Roger Road, Tucson, Arizona, 85705, USA, 5855 North Kolb Road, #9208, Tucson, Arizona, 85750, USA, and 5745 North Campbell Avenue, Tucson, Arizona, 85718, USA respectively, witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in **LITHIUM ANODES FOR ELECTROCHEMICAL CELLS**, set forth in an application for Letters Patent of the United States, bearing Serial No. **09/864,890** and filed on **23 May 2001**; and claiming priority to Non-Provisional Serial Nos. **09/721,519** and **09/721,578**, both filed **21 November 2000**, and Provisional Application Serial No. **60/167,171**, filed **23 November 1999**; and

WHEREAS, Moltech Corporation, a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 9062 South Rita Road, Tucson, Arizona 85747 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

9/8/01 [Signature] **COPY**
 Date Terje A. Skotheim

JURAT CERTIFICATE

State of Arizona,)
) SS:
 County of Pima,)

Subscribed and affirmed before me this _____ day of _____, 2001 by Terje A. Skotheim.

 Notary Public

(SEAL)

9/12/01 [Signature]
 Date Christopher J. Sheehan

JURAT CERTIFICATE

State of Arizona,)
) SS:
 County of Pima,)

Subscribed and affirmed before me this 12th day of September, 2001 by Christopher J. Sheehan.



[Signature]
 Notary Public

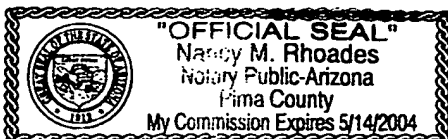
(SEAL)

09-12-01 [Signature]
 Date Yuriy V. Mikhaylik

JURAT CERTIFICATE

State of Arizona,)
) SS:
 County of Pima,)

Subscribed and affirmed before me this 12th day of September, 2001 by Yuriy V. Mikhaylik.



[Signature]
 Notary Public

(SEAL)

9/12/01
Date

John Affinito
John Affinito

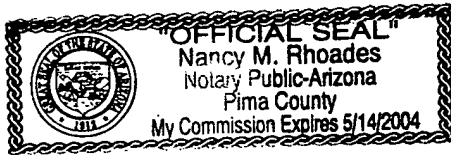
JURAT CERTIFICATE

COPY

State of Arizona,)
) SS:
County of Pima,)

Subscribed and affirmed before me this 12th day of September, 2001 by John Affinito.

(SEAL)



Nancy M. Rhoades
Notary Public